



## CMMI Certification – V2.0 Partner-Sponsored Upgrade Policy

### Purpose

This policy outlines the process for CMMI Institute Partner-Sponsored Individuals to upgrade their CMMI V1.3 certifications to CMMI V2.0.

### Scope and Applicability

This policy is effective 30 June 2019.

This policy applies to the following CMMI Institute Partner-Sponsored Individuals:

- Certified CMMI Lead Appraisers
- Certified CMMI Instructors

In this policy context:

- “Role” is defined as the position and responsibilities an individual fills when delivering CMMI services (e.g., Certified CMMI Instructor, Certified CMMI Lead Appraiser). In order to serve in a role, an individual must have the relevant credentials and certifications (e.g., an individual must be a Certified CMMI Instructor to deliver a Building Organizational Capability suite course).
- “Certification” is defined as written assurance (a certificate) provided by CMMI Institute verifying that an individual has met the requirements (e.g., experience, course completion, successful exam completion) to deliver specific CMMI services related to the role (e.g., Certified CMMI Associate, Certified CMMI Professional, Certified CMMI Lead Appraiser, Certified CMMI Instructor).
- “Specialization” is defined as a CMMI view area of focus (e.g., Development (DEV), Services (SVC), Supplier Management (SPM)). In order to deliver CMMI services related to a specialization, the related course(s), exam(s), and certification(s) must be completed.
- “Good standing” is defined as having no outstanding unpaid CMMI Institute invoices, having appropriately submitted all course rosters and appraisal records, and having no Code of Professional Conduct violations. [Refer to the Professional Code of Conduct.](#)



## Background

This policy replaces CMMI Institute Policy 2018-03, *CMMI V2.0 Upgrade Policy for Partner-Sponsored Individuals*. Information about the process for Appraisal Team Members (ATMs) to upgrade their credentials is available in CMMI Institute Policy PA001, *CMMI Appraisal - Appraisal Team Member (ATM) Eligibility Policy*.

## Policy Implementation Detail

### ***CMMI Lead Appraiser Certification***

Certified CMMI Lead Appraisers may deliver CMMI V2.0 services upon completing all CMMI V2.0 certification requirements and receiving a new Certified CMMI Lead Appraiser certificate.

### ***CMMI Lead Appraiser Upgrade Requirements***

An individual seeking upgraded role(s) for CMMI V2.0 must be a Certified CMMI V1.3 SCAMPI Lead Appraiser in good standing and must satisfy the following requirements:

- Successfully complete the CMMI V2.0 Model Upgrade Training for Partner-Sponsored Individuals
- Pass the CMMI V2.0 Upgrade Exam with a score of 80% or higher
- Successfully complete CMMI V2.0 Lead Appraiser Upgrade Training
- Sign the revised CMMI Institute Certification Agreement

Completion criteria for Model Upgrade Training for Partner-Sponsored Individuals is as follows:

- Participate actively in all classroom activities
- Do not miss any classroom time
- Meet all course learning objectives

For more information about the CMMI V2.0 Model Upgrade Exam, refer to the Upgrade Exam information below.

### ***CMMI Lead Appraiser Specialization Upgrade Requirements***

To upgrade CMMI V1.3 Lead Appraiser specializations to CMMI V2.0, additional web-based training is required (exception: Certified CMMI Lead Appraiser with Development specialization.) There is no additional fee for the domain-specific upgrades. Title conversions are as follows:



- **V1.3 Certified SCAMPI Lead Appraiser for Services** becomes **Certified CMMI Lead Appraiser with Services specialization**
- **V1.3 Certified SCAMPI Lead Appraiser for Acquisition** becomes **Certified CMMI Lead Appraiser with Supplier Management specialization**
- **V1.3 Certified SCAMPI Lead Appraiser for Development** becomes **Certified CMMI Lead Appraiser with Development specialization** (no web-based training required, only the CMMI V2.0 Lead Appraiser Upgrade Training)

CMMI V2.0 Lead Appraiser certification may be verified by viewing either the CMMI Leader Appraiser certificate or the CMMI Partner Directory. Certifications expire in accordance with their V1.3 expiration date. New CMMI V2.0 certifications may be maintained without interruption by meeting pre-established renewal criteria. Refer to CMMI Institute Policy PC003, *CMMI Certification - V2.0 Partner-Sponsored Renewal Policy* for more information about renewal criteria.

### ***CMMI Instructor Certification***

Certified CMMI Instructors may deliver CMMI V2.0 instructional services upon completing all certification requirements and receiving a CMMI Institute-Sponsored CMMI Instructor Certification.

### ***CMMI Instructor Upgrade Requirements***

An individual seeking upgraded instructor role(s) must be a certified instructor in good standing and must satisfy the following requirements:

- Successfully complete CMMI Instructor Training; if CMMI Instructor Training was completed before 2015, the individual must also successfully complete one of the following courses:
  - Instructional Methods
  - CMMI Learner-Centered Orientation
- Successfully complete the CMMI V2.0 Model Upgrade Training for Partner-Sponsored Individuals
- Pass the CMMI V2.0 Upgrade Exam with a score of 80% or higher
- Successfully complete the CMMI V2.0 Instructor Upgrade Training
- Sign the revised CMMI Institute Certification Agreement

Completion criteria for Model Upgrade Training for Partner-Sponsored Individuals is as follows:



- Participate actively in all classroom activities
- Do not miss any classroom time
- Meet all course learning objectives.

For more information about the CMMI V2.0 Model Upgrade Exam, refer to the Upgrade Exam Policy section below.

### ***CMMI Instructor Specialization Upgrade Requirements***

To upgrade CMMI V1.3 Instructor specializations to additional CMMI V2.0 specializations, additional web-based training is required (exception: Certified CMMI Instructor with Development specialization). There is no additional fee for the domain-specific upgrades. Title conversions are as follows:

- **V1.3 Certified CMMI Introduction to Services Instructor** becomes **Certified CMMI Instructor with Services specialization**
- **V1.3 Certified CMMI Acquisition Supplement Instructor** becomes **Certified CMMI Instructor with Supplier Management specialization**
- **V1.3 Certified CMMI Development Instructor** becomes **Certified CMMI Instructor with Development specialization** (no web-based training required, only the CMMI V2.0 Instructor Upgrade Training)

CMMI V2.0 Instructor certification may be verified by viewing either the CMMI Instructor certificate or the CMMI Partner Directory. Certifications expire in accordance with their V1.3 expiration date. New CMMI V2.0 certifications may be maintained without interruption by meeting pre-established renewal criteria. Refer to CMMI Institute Policy PC003, *CMMI Certification - V2.0 Partner-Sponsored Renewal Policy* for more information about renewal criteria.

### **CMMI V2.0 Model Upgrade Exam**

- The CMMI V2.0 Model Upgrade Exam may be taken for up to 30 days following completion of the first day of Model Upgrade Training for Partner-Sponsored Individuals, without added fee after course purchase.
- CMMI Upgrade Exam credit that is included with the cost of CMMI V2.0 Model Upgrade training for Partner-Sponsored Individuals must be used within 30 days of the first day of the training. After 30 days have expired, students may choose to purchase the exam. Students may email [info@cmmiinstitute.com](mailto:info@cmmiinstitute.com) to request the purchase link for the exam.



- 80% constitutes a passing score on the exam. If the exam is not passed on the first attempt, a second attempt will be granted for no additional cost.
- If the exam is not passed a second time, the Partner-Sponsored Individual must wait six months from the date of the second failed attempt to purchase and retake the exam. Partner-Sponsored Individuals may email [info@cmmiinstitute.com](mailto:info@cmmiinstitute.com) to request the purchase link for the exam. In the event of a failed third attempt, the Partner-Sponsored Individual must begin the CMMI V2.0 certification process as a new applicant.
- Passing the V2.0 Upgrade Exam qualifies the Partner-Sponsored Individual as a Certified CMMI Associate.

### Additional References

Questions regarding this policy can be sent to [info@cmmiinstitute.com](mailto:info@cmmiinstitute.com).

Information about the process for Appraisal Team Members (ATMs) to upgrade their credentials is available in CMMI Institute Policy PA001, [CMMI Appraisal - Appraisal Team Member \(ATM\) Eligibility Policy](#).

Information about renewal criteria is available in CMMI Institute Policy PC003, [CMMI Certification - V2.0 Partner-Sponsored Renewal Policy](#).

### Revision History

Version Number	Date Published	Date Effective	Changes
V1.1	30 June 2019	30 June 2019	Updated policy formatting. Removed ATM and Associate information, which are contained in other policies.
V1.0	30 March 2018	30 March 2018	Initial release of policy.